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9-18-02

Date of Deposit

Marc V. Richards, Reg. No. 37,921

Name of Applicant, Assignee or  
Registered Representative

*Marc V. Richards*

Signature

9-18-02

Date of Signature

Our Case No.: 6340/16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Barry Freel et al.

Serial No.: 09/955,267

Filing Date: September 18, 2001

For: Products Produced from Rapid  
Thermal Processing of Heavy  
Hydrocarbon Feedstocks

Examiner: N/A

Group Art Unit No.: 1764

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TC 1700

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the

Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

#### **U.S. Patent Documents**

<b>Document No.</b>	<b>Date</b>	<b>Inventor</b>
3,929,619	12/1975	Sinfelt et al.
5,626,742	05/1997	Brons et al.
5,807,478	09/1998	Myers et al.

<b>Foreign Patent</b>		
<b>No.</b>	<b>Date</b>	<b>Title</b>
WO 00/61705	10/2000	WIPO

#### **Other Art**

International Search Report, dated July 26, 2002, for corresponding International Application PCT/CA01/01316

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This First Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b).

In accordance with 37 C.F.R. § 1.97(e)(1), Applicant(s) hereby certify that each item of the information disclosed in this First Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart

foreign application not more than three months prior to filing of the present statement,  
as evidenced by the date of the enclosed search report.

Applicant(s) respectfully request that the listed documents be made of record in  
the present case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Marc V. Richards", written over a horizontal line.

Marc V. Richards  
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